

WHAT TYPE OF INVESTOR ARE YOU?

This questionnaire can help you determine whether you are a conservative, moderate, or aggressive investor and which investments offered by your retirement plan may suit your needs. Use this information in combination with other investment planning advice available to you.

Please read the following statements. Rank yourself on a scale from 1 to 5 as to whether you agree or disagree with the statement. Circle your choice.

1 = strongly disagree
2 = disagree

3 = neutral
4 = agree

5 = strongly agree

1. Earning a high long-term return is one of my most important objectives.

1 2 3 4 5

2. Yearly account value is less of a concern than long-term return.

1 2 3 4 5

3. In pursuit of higher long-term returns, I'm willing to give up steady guaranteed returns.

1 2 3 4 5

4. I'm willing to tolerate sharp up-and-down swings in the value of my account in order to achieve potentially higher long-term returns.

1 2 3 4 5

5. I will change my investment strategy if, in a 10-day period, my account value declines by more than:

1% 5% 10% 15% 20%
(1) (2) (3) (4) (5)

Now total the numbers you circled and see where your score falls on the Investor Profile. Remember, neither the five statements nor your total score is meant to tell you which investments to choose. Rather, the questionnaire may help you better understand your objectives and feelings about risk so you can select investments that are right for your situation.

